

Voya Investment Management **Super CE**

Programs that Power Your Edge

At Voya Investment Management, we know your time is valuable. That's why our **Super Continuing Education (CE)** program is designed to deliver maximum impact with minimal disruption—helping you complete most of your annual CE requirements in one efficient session.

By saving time, you gain the freedom to focus on what matters most: building stronger client relationships and growing your business.

How Super CE Works:

Advisors register for a Voya Super CE course and receive study materials.

Attend a Review Session hosted by Voya.

Take the exam online within 7 days to receive CE credit.

Voya Super CE Courses

Voya Super CE offers three courses covering different topics. The Voya team will hand select the best course to deliver based on advisor goals and location.

See reverse side for designation credit hour information:

- **Wealth Management and Ethical Practices**
Approved for CFP, IWI, IAR and State Insurance
- **Small Business Retirement Plans & Ethical Practices**
Approved for CFP, IWI, IAR, and State Insurance
- **Guide to Retirement Planning Strategies**
Approved for CFP, IWI, and State Insurance

Wealth Management and Ethical Practices

This course equips financial professionals with a practical understanding of charitable split-interest strategies—including CRTs and CLTs—to help clients achieve tax-efficient income, wealth transfer, and philanthropic goals. It emphasizes real-world application, covering structure, tax implications, compliance, and advisor coordination to support effective planning for HNW and UHNW clients.

Designation				Credit Hours		Ethics Hours	Tax & Regulation Hours
Certified Financial Planner (CFP)				20		0	
Investments and Wealth Institute (CIMA/CPWA/RMA)				17		2	1
Investment Adviser Representative (IAR)				6		6	
State Insurance General / Ethics Credit:							
Alabama	20/3	Iowa	20/3	Nevada	5/3	South Dakota	10/0
Alaska	20/3	Kansas	20/3	New Hampshire	18/3	Tennessee	20/3
Arizona	17/6	Kentucky	12/3	New Jersey	0/3	Texas	9/3
Arkansas	17/6	Louisiana	17/6	New Mexico	12/3	Utah	9/3
California	12/0	Maine	20/3	New York*	15/0	Vermont	20/3
Colorado	17/6	Maryland	20/3	North Carolina	17/6	Virginia	4/15
Connecticut	17/6	Massachusetts	17/6	North Dakota	20/3	Washington	20/3
Delaware	17/6	Michigan	20/3	Ohio	10/3	Washington D.C.	20/3
Florida	17/4	Minnesota	17/6	Oklahoma	17/6	West Virginia	20/3
Georgia	9/3	Mississippi	20/3	Oregon	20/3	Wisconsin	20/3
Hawaii	20/3	Missouri	16/6	Pennsylvania	17/6	Wyoming	20/3
Idaho	12/3	Montana	16/3	Rhode Island	17/6		
Illinois	12/0	Nebraska	20/3	South Carolina	20/3		
Indiana	9/3						

*NY includes 3 credits towards the Insurance Law, Ethics and Professionalism, Diversity, Inclusion and Elimination of Bias

Credit hours are subject to change per state insurance licensing boards upon course renewals, or at any time they choose to review a course

Small Business Retirement Plans & Ethical Practices

This course equips financial and insurance professionals with a comprehensive understanding of small-business retirement plan design, regulatory and fiduciary requirements, and real-world ethical responsibilities essential for delivering compliant, client-centered guidance

Designation			Credit Hours		Ethics Hours		Tax & Regulation Hours	
Certified Financial Planner (CFP)			10		0			
Investments and Wealth Institute (CIMA/CPWA/RMA)			7		2		1	
Investment Adviser Representative (IAR)			6		6			
State Insurance General / Ethics Credit:								
Alabama	16/4	Iowa	16/3	Nevada	3/3	South Dakota	10/0	
Alaska	16/4	Kansas	16/3	New Hampshire	16/3	Tennessee	16/4	
Arizona	16/4	Kentucky	12/4	New Jersey	0/0	Texas	9/3	
Arkansas	16/4	Louisiana	16/4	New Mexico	12/3	Utah	9/3	
California	16/4	Maine	16/3	New York*	15/0	Vermont	16/3	
Colorado	4/4	Maryland	17/3	North Carolina	16/4	Virginia	0/0	
Connecticut	16/3	Massachusetts	16/4	North Dakota	16/4	Washington	4/4	
Delaware	13/4	Michigan	16/4	Ohio	10/3	Washington D.C.	16/3	
Florida	17/0	Minnesota	0/0	Oklahoma	16/4	West Virginia	16/4	
Georgia	9/3	Mississippi	16/4	Oregon	16/3	Wisconsin	16/3	
Hawaii	16/3	Missouri	4/4	Pennsylvania	17/3	Wyoming	16/3	
Idaho	16/4	Montana	15/3	Rhode Island	16/4			
Illinois	12/0	Nebraska	16/3	South Carolina	16/3			
Indiana	17/3							

*NY includes 3 credits towards the Insurance Law, Ethics and Professionalism, Diversity, Inclusion and Elimination of Bias
Credit hours are subject to change per state insurance licensing boards upon course renewals, or at any time they choose to review a course

Guide to Retirement Planning Strategies

This course is an advanced, comprehensive course that equips financial professionals with end-to-end retirement planning strategies—from income, Social Security optimization, and tax-efficient distributions to annuities, healthcare, and legislative updates under the SECURE Acts. Through practical case studies and a step-by-step framework, it provides actionable tools to help clients navigate complex decisions and achieve confident retirement outcomes.

Designation				Credit Hours		Tax & Regulation Hours	
Certified Financial Planner (CFP)				10			
Investments and Wealth Institute (CIMA/CPWA/RMA)				9		1	
State Insurance General Credit:							
Alabama	21	Iowa	21	Nevada	21	South Dakota	10
Alaska	21	Kansas	21	New Hampshire	21	Tennessee	21
Arizona	21	Kentucky	14	New Jersey	21	Texas	12
Arkansas	21	Louisiana	21	New Mexico	15	Utah	12
California	17	Maine	21	New York	15	Vermont	21
Colorado	21	Maryland	21	North Carolina	21	Virginia	10
Connecticut	21	Massachusetts	21	North Dakota	21	Washington	0
Delaware	17	Michigan	21	Ohio	10	Washington D.C.	21
Florida	17	Minnesota	21	Oklahoma	21	West Virginia	21
Georgia	12	Mississippi	21	Oregon	21	Wisconsin	21
Hawaii	21	Missouri	16	Pennsylvania	21	Wyoming	21
Idaho	21	Montana	21	Rhode Island	21		
Illinois	12	Nebraska	21	South Carolina	21		
Indiana	20						

Credit hours are subject to change per state insurance licensing boards upon course renewals, or at any time they choose to review a course

State Breakdown:

Open/Closed Book

Monitor/Proctor Form or Attendee Acknowledgement

State	Open or Closed Book	Monitor/Proctor Form or Attendee Acknowledgement	State	Open or Closed Book	Monitor/Proctor Form or Attendee Acknowledgement
AK	Open	Acknowledgement	MT	Closed	Acknowledgement
AL	Closed	Monitor/Proctor Form	NC	Closed	Acknowledgement
AR	Closed	Monitor/Proctor Form	ND	Open	Acknowledgement
AZ	Open	Acknowledgement	NE	Closed	Acknowledgement
CA	Open	Acknowledgement	NH	Open	Acknowledgement
CO	Closed	Monitor/Proctor Form	NJ	Closed	Monitor/Proctor Form
CT	Closed	Monitor/Proctor Form	NM	Open	Acknowledgement
DC	Closed	Monitor/Proctor Form	NV	Closed	Monitor/Proctor Form
DE	Open	Acknowledgement	NY	Closed	Acknowledgement
FL	Open	Acknowledgement	OH	Closed	Monitor/Proctor Form
GA	Closed	Monitor/Proctor Form	OK	Closed	Acknowledgement
HI	Closed	Monitor/Proctor Form	OR	Closed	Acknowledgement
IA	Closed	Monitor/Proctor Form	PA	Closed	Acknowledgement
ID	Open	Acknowledgement	RI	Open	Acknowledgement
IL	Open	Acknowledgement	SC	Closed	Monitor/Proctor Form
IN	Closed	Monitor/Proctor Form	SD	Open	Acknowledgement
KS	Closed	Acknowledgement	TN	Open	Acknowledgement
KY	Open	Acknowledgement	TX	Open	Acknowledgement
LA	Open	Acknowledgement	UT	Open	Acknowledgement
MA	Closed	Monitor/Proctor Form	VA	Closed	Attestation/Monitor Affidavit
MD	Closed	Acknowledgement	VT	Closed	Monitor/Proctor Form
ME	Open	Acknowledgement	WA	Open	Acknowledgement
MI	Closed	Monitor/Proctor Form	WI	Closed	Monitor/Proctor Form
MN	Closed	Monitor/Proctor Form	WV	Closed	Monitor/Proctor Form
MO	Closed	Acknowledgement	WY	Closed	Monitor/Proctor Form
MS	Closed	Monitor/Proctor Form			

What is a monitor?

Some states require exams to be completed in the presence of a **disinterested third party (monitor)**. If this applies to your state, you must take the exam with an approved monitor and submit a **Monitor Affidavit** confirming the exam was completed without outside assistance.

Who can serve as a monitor?

Your monitor must be a **disinterested third party**, meaning someone who:

- Has no insurance or financial services relationship with you
- Is not a family member
- Has no interest in whether you pass or fail

How do I know if a monitor is required?

Monitor requirements vary by state. Please refer to the state list above for details.

What should I expect if a monitor is required?

When you access your exam online:

- If a monitor is required, you'll be prompted to complete and submit a **Monitor Affidavit** before accessing the exam.
- If no monitor is required, you can proceed directly to the exam with no additional steps.

Paper affidavit must be signed and returned to B.E.S.T. @ regulatory@brokered.net for credit processing to take place.

The electronic affidavit is available online and must be entered at the beginning. *While the proctor requirement for these states is still in place, the use of such tools as Skype, Microsoft Teams, Zoom and other similar products will be allowed for remote proctoring.

Partner with Voya IM

We're not just about investments. We're about giving you the tools to build credibility, confidence, and impact—so you can write your own growth story.

Ready to level up?

Dive into advisor-only resources at advisors.voya.com

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VOYA Investment
Management

Updated State-Specific CE Registration & Exam Access Rules as of **August 24, 2026**

Minnesota: New Exam Access Requirement [MN Statute 45.25]

For participants requesting Minnesota continuing education credit, B.E.S.T. will now require a one-time password to access the final examination.

This additional exam security procedure is being implemented as part of B.E.S.T.'s compliance with Minnesota's requirements for self-study continuing education programs.

Registration and Exam Access: All States

Beginning with events held on or after **August 24th**, the following registration procedures will apply:

- Participants must pre-register with B.E.S.T. at least three days before the event to receive access to the final examination immediately following the event.
- Participants who do not meet the three-day advance registration deadline may still register through the day of the event. However, they will not become eligible to access the examination until three days after the event date.
- Once eligible to access the examination, participants must complete the examination within 10 calendar days of the event date.

New York and South Carolina

The existing advance-registration requirements for New York (NY Insurance Law Section 2132) and South Carolina (SC Code 38-43-106 and SC Regulations 69-50) remain unchanged. Participants requesting CE credit in either state must continue to **register at least seven days before the event**.