

Investment Management **with you in mind**

Voya Investment Management is the asset management business of Voya Financial, a Fortune 500 company with over 6,000 employees seeking to help clients plan, invest and protect their savings. Voya Investment Management manages approximately \$252 billion¹ in assets across fixed income, senior loans, equities, multi-asset strategies and solutions, private equity, and real assets. Drawing on over 40 years of experience and the expertise of 250+ investment professionals, the firm’s capabilities span traditional

products and solutions as well as those that cannot be easily replicated by an index.

At Voya Investment Management, a heritage of partnership and innovation serves clients at every step. Our award winning culture is deeply rooted in a client-centric approach to help investors meet their goals — from insurance companies, corporate and public pension funds, sovereign wealth funds, endowments and foundations, and consultants to intermediaries, as well as individual investors.

Award Winning Culture



Specialty and Scale

\$252 billion
AUM¹

250+
Investment Professionals

Core → Specialized
Offerings

Partnership and Innovation



Voya Investment Management \$252 billion¹

Alternatives & Private Investments \$73 billion

Global Credit & Hedge Funds

Private Placements
Senior Loans
Collateralized Loan Obligations
Middle Market / Special Situations
Mortgage Derivatives
Opportunistic Credit

Real Assets

Commercial Mortgage Loans
Diversified Infrastructure
Renewable Energy Project Financing

Private Equity

Pomona Capital

Liquid Alternatives

Alternative Risk Premia
Managed Volatility
Portable Alpha

Fixed Income, Equity & Multi-Asset Solutions \$174 billion

Debt

Investment Grade Credit
Securitized
High Yield
Emerging Markets

Multi-Sector Fixed Income

Core / Core Plus
Unconstrained
Global Bond
Liability Driven Investing
Short Duration
Money Market / Stable Value

Equity

Large Cap Growth, Value & Core
Mid Cap Growth
Small Cap Growth & Core
Equity Machine Intelligence
Active Quant

Multi-Asset Solutions

Retirement Income
Target Date
Target Risk
Custom Solutions
(Target Date & Model Portfolios)

¹ As of 03/31/21. Voya Investment Management assets are calculated on a market value basis and include proprietary insurance general account assets of \$42 billion. Platform assets will not total as Multi-Asset Solutions assets managed by external entities are included in the firm total but excluded from platform totals.

This review has been prepared by Voya Investment Management for informational purposes. Nothing contained herein should be construed as (i) an offer to sell or solicitation of an offer to buy any security or (ii) a recommendation as to the advisability of investing in, purchasing or selling any security. Any opinions expressed herein reflect our judgment and are subject to change. Certain of the statements contained herein are statements of future expectations and other forward-looking statements that are based on management's current views and assumptions and involve known and unknown risks and uncertainties that could cause actual results, performance or events to differ materially from those expressed or implied in such statements. Actual results, performance or events may differ materially from those in such statements due to, without limitation, (1) general economic conditions, (2) performance of financial markets, (3) changes in laws and regulations and (4) changes in the policies of governments and/or regulatory authorities.

Partnership & Innovation: Metrics presented use pre-determined criteria to measure each individual investment product based on its ability to outperform its benchmark index on a gross-of-fees basis. Generally speaking, the results for unconstrained, fully-active investment products were based on relevant peer category rankings while those of "enhanced index", rules-based, risk-constrained, or client-specific investment products were based on benchmark-relative performance. Metrics are based on observations of rolling periods, calculated on an annualized, gross-of-fees basis, and includes mutual funds as well as pooled and separately-managed institutional portfolios that fall within our traditional long-only commercial book of business that remain open as of date represented. If terminated and other accounts had been included, results may have differed from that shown. Source of performance returns and peer medians is Voya Investment Management but is based in part on data from Morningstar (mutual funds) and eVestment (institutional composites). Further detailed information regarding these calculations is available upon request.

Pension & Investments Best Places to Work in Money Management: Firms that participated were required to complete a two-step survey conducted by Best Places to Work Group. First, an employee questionnaire was completed by the firm's HR department that captured information around benefits, retirement, training and development and incentive structure, among other topics. Second, employees completed a survey that looked at several elements of being an employee at Voya—including engagement and satisfaction, work environment, career development and remuneration in addition to two open-ended questions about working at Voya.

Fortune's World's Most Admired Companies: Fortune's World's Most Admired Companies list is the definitive report card on corporate reputation, and is developed by Fortune and Korn Ferry Hay Group. The study surveys top executives and directors from eligible companies, along with financial analysts, to rate enterprises in their own industry on nine criteria, from investment value and quality of management and products to social responsibility and ability to attract talent. A company's score must rank in the top half of its industry survey to be listed.

Barron's 100 Most Sustainable Companies: To determine the rankings, Calvert Research and Management, an arm of Eaton Vance, assesses the 1,000 largest publicly held companies, with headquarters in the U.S., by market value. Calvert calculates the rankings by measuring sustainability performance based on 300 indicators across five categories: shareholders, employees, customers, planet and community.

Ethisphere World's Most Ethical Companies: The World's Most Ethical Company assessment is based upon the Ethisphere Institute's Ethics Quotient® framework which offers a quantitative way to assess a company's performance in an objective, consistent and standardized way. The information collected provides a comprehensive sampling of definitive criteria of core competencies, rather than all aspects of corporate governance, risk, sustainability, compliance and ethics. Scores are generated in five key categories: ethics and compliance program (35%), corporate citizenship and responsibility (20%), culture of ethics (20%), governance (15%) and leadership, innovation and reputation (10%) and provided to all companies who participate in the process. While Voya's Chief Communications Officer sits on the Strategic Advisory Board for the Ethisphere Institute, he plays no role in the selection process for the World's Most Ethical Company award, but this fact has been disclosed to the extent it may be perceived as a possible conflict of interest. There is a processing fee of \$3,000 USD to participate. There is also a licensing fee for use of the logo.

Bloomberg Gender-Equality Index: To qualify for the index, Voya disclosed details of its policies and practices with respect to how the company promotes gender equality across four separate areas – company statistics, policies, community engagement and products and services. Voya and other companies included in the Gender-Equality Index scored above a globally-established threshold, based on the extent of disclosures and the achievement of best-in-class statistics and policies.

Human Rights Campaign Best Places to Work: The Corporate Equality Index (CEI), administered by the Human Rights Campaign Foundation, evaluates LGBTQ-related policies and practices, including non-discrimination workplace protections, domestic partner benefits, transgender-inclusive health care benefits, competency programs, and public engagement with the LGBTQ community. Voya's efforts in satisfying all of the CEI's criteria earns it a "100 percent" ranking and the designation as a Best Place to Work for LGBTQ Equality.